



#2 Sector

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Alberti et al. Confirmation No.: 7741
Serial No.: 09/752,065 Group Art Unit: 2161
Filed: 12/29/00 Examiner:
Title: WEB-BASED SOLUTION FOR MANAGING INFORMATION
TRADITIONALLY MANAGED WITHIN PRIVATE ELECTRONIC
ENVIRONMENTS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Box MISSING PARTS, Assistant Commissioner For Patents, Washington, D.C., 20231, on April 4, 2001.

Blanche E. Schiller
Blanche E. Schiller, Esq.
Attorney for Applicants
Reg. No. 35,670

Date of Signature: April 4, 2001

To: Box MISSING PARTS
Assistant Commissioner For Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION FILING DATE GRANTED

Dear Sir:

This is being submitted in response to the Notice to File Missing Parts of Application Filing Date Granted issued on February 15, 2001 in connection with the above-identified

application. The deadline for responding to the Notice is April 15, 2001. Accordingly, this Response to Notice to File Missing Parts of Application is being timely filed.

In response to the Notice to File Missing Parts of Application Filing Date Granted, applicants hereby submit the following:

- (a) A copy of the Notice to File Missing Parts of Application Filing Date Granted;
- (b) A signed Declaration pursuant to 37 C.F.R. §1.63, which includes the application's serial number and filing date (4 pages);
- (c) A Recordation Form Cover Sheet - Patents Only (in duplicate);
- (d) An assignment of the above-identified patent application to International Business Machines Corporation, executed by Emilio Alberti, Walter Baur and Roger Kerr (1 page);
- (e) The Commissioner is hereby authorized to use Deposit Account 50-0510 (IBM) to charge the amount of \$130.00 large entity Surcharge Fee. A duplicate copy of this Response to Notice to File Missing Parts of Application Filing Date Granted is enclosed.

(f) The Commissioner is hereby authorized to charge payment of the following fees, enumerated below, during the pendency of this application or credit any overpayment to Deposit Account No. 50-0510 (IBM).

- (1) Any filing fees under 37 C.F.R. 1.16.
- (2) Any patent application processing fees under 37 C.F.R. 1.17.

Any communication regarding this matter should be directed to the undersigned.

Respectfully submitted,

Blanche E. Schiller
Blanche E. Schiller, Esq.
Attorney for Applicants
Registration No. 35,670

Dated: April 4, 2001

HESLIN & ROTHENBERG, P.C.
5 Columbia Circle
Albany, New York 12203-5160
Telephone: (518) 452-5600
Facsimile: (518) 452-5579



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/752,065	12/29/2000	Emilio Alberti	YOR920000593

CONFIRMATION NO. 7741

FORMALITIES LETTER



OC000000005770243

Blanche E. Schiller, Esq.
HESLIN & ROTHENBERG, P.C.
5 Columbia Circle
Anbany, NY 12203

Date Mailed: 02/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/20/2001 ETUUS 00000000 500510 09752065

01 FEB 2001 130.00 CN

